

# Form 3

## Summary Interim Asset Report

Page: 1

Trustee: Sara A. Conti (530200)

Blanket Bond Amount: \$20,680,000.00

Period: 07/01/22 - 09/30/22

Per Case Limit: \$2,500,000.00

1	2	3	4	5	6	7
Case Number	Case Name	Date Filed (f) or Converted (c) to Chapter 7	Total Funds on Deposit or Invested (from Form 2)	Amount of Separate Bond (if any)	Gross Value of Remaining Assets (from Form 1)	Date of Disposition by: TFR, TFR(e), TDR, NDR, C, D, R
15-80016	<p>NC &amp; VA WARRANTY COMPANY, INC. Next Activity: This is the thirty-second interim report.</p> <p>The sole remaining asset for administration is the Debtor's claim in a criminal forfeiture proceeding pending in the U.S. District Court for the Eastern District of North Carolina, which has come to a close. The Defendant's Bitcoin account was liquidated by the U.S. Government, and sufficient funds were obtained to satisfy both the Order of Forfeiture and the Order of Restitution entered in the criminal proceeding (approximately \$14,000,000.00). The funds have been deposited with the Clerk of Court for the Eastern District, but because of an unrelated appeal by the Defendant, the Clerk has refused to distribute the funds to the victims of fraud identified in the original criminal judgment. In September, 2021, the US Attorney for the Eastern District filed a number of motions to expedite the release of the funds, but no order has been entered. Since that time, the defendant filed a Motion to Vacate his sentence, the US Attorney filed a Motion to Dismiss the Motion to Vacate, and an extension of time was granted the Defendant until December 2, 2022, within which to respond to the Motion to Dismiss. Prior to entry of the Order for Extension of Time, the Defendant voluntarily dismissed his Motion to Vacate, so it is not clear what is going on with the administration of the criminal case. Repeated attempts by the Trustee to expedite the distribution of the forfeiture/restitution funds have been unsuccessful. Upon receipt of the restitution funds, the trustee intends to pay the remaining unpaid claims, and any funds remaining will be paid to the decedent estate</p>	01/07/15 (f)	17,527.34		5,937,500.00	12/31/22 TFR(e)

# Form 3

## Summary Interim Asset Report

Page: 2

Trustee: Sara A. Conti (530200)

Blanket Bond Amount: \$20,680,000.00

Period: 07/01/22 - 09/30/22

Per Case Limit: \$2,500,000.00

1	2	3	4	5	6	7
Case Number	Case Name	Date Filed (f) or Converted (c) to Chapter 7	Total Funds on Deposit or Invested (from Form 2)	Amount of Separate Bond (if any)	Gross Value of Remaining Assets (from Form 1)	Date of Disposition by: TFR, TFR(e), TDR, NDR, C, D, R
	of Ronnie Thomas, the sole shareholder of the Debtor. A final report is expected to be filed by June 30, 2023.					
<b>Totals</b> (Column 6 excludes unknown values)			<b>\$17,527.34</b>		<b>\$5,937,500.00</b>	

I certify that I have filed and reviewed Forms 1 and 2 for all cases listed above and that they are accurate and correct to the best of my knowledge.

Trustee's signature: /s/ Sara A. ContiDate signed: 10/04/22

# Form 1

## Individual Estate Property Record and Report

### Asset Cases

Case Number: 15-80016

Trustee: (530200) Sara A. Conti

Case Name: NC &amp; VA WARRANTY COMPANY, INC.

Filed (f) or Converted (c): 01/07/15 (f)

§341(a) Meeting Date: 02/06/15

Period Ending: 09/30/22

Claims Bar Date: 05/05/15

1 Ref. #	2 Asset Description (Scheduled And Unscheduled (u) Property)	3 Petition/ Unscheduled Values	4 Est Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	5 Property Abandoned OA=§554(a) DA=§554(c)	6 Sale/Funds Received by the Estate	7 Asset Fully Admin. (FA)/ Gross Value of Remain. Assets	8 Lien Amount	9 Exempt Amount
1	Wells Fargo Checking Operating account Imported from original petition Doc# 4	38,449.52	36,502.64		36,502.64	FA	0.00	0.00
2	Wells Fargo Checking Claims Account Imported from original petition Doc# 4	0.00	55,933.30		55,933.30	FA	0.00	0.00
3	Wells Fargo checking Payroll account (12/31/2014 Imported from original petition Doc# 4	5,390.22	5,390.22		5,390.22	FA	0.00	0.00
4	Pending lawsuit against Trey Thomas currently in Imported from original petition Doc# 4	10,000,000.00	4,000,000.00		0.00	4,000,000.00	0.00	0.00
5	Potentially lawsuit against Fidelity Imported from original petition Doc# 4	10,000,000.00	4,000,000.00		2,062,500.00	1,937,500.00	0.00	0.00
6	Office equipment, furniture, computers, applianc Imported from original petition Doc# 4	15,000.00	0.00		0.00	FA	15,000.00	0.00
7	Workers comp insurance audit refund (u)	1,299.00	1,299.00		1,299.00	FA	0.00	0.00
8	Wells Fargo Reimbursement for Utility Bill (u)	0.00	71.32		71.32	FA	0.00	0.00
9	Fidelity Bank Account	4,018.24	4,018.24		4,018.24	FA	0.00	0.00
10	NCVA/Coastal Warranty LLC Fidelity Bank Account (u)	0.00	10,398.83		10,398.83	0.00	0.00	0.00
11	Tax Refund (u)	0.00	1,305.00		1,305.00	FA	0.00	0.00
12	Coastal Warranty, LLC preference	160,000.00	130,000.00		130,000.00	FA	0.00	0.00

# Form 1

## Individual Estate Property Record and Report

### Asset Cases

Case Number: 15-80016

Trustee: (530200) Sara A. Conti

Case Name: NC &amp; VA WARRANTY COMPANY, INC.

Filed (f) or Converted (c): 01/07/15 (f)

§341(a) Meeting Date: 02/06/15

Period Ending: 09/30/22

Claims Bar Date: 05/05/15

1 Ref. #	Asset Description (Scheduled And Unscheduled (u) Property)	2 Petition/ Unscheduled Values	3 Est Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	4 Property Abandoned OA=§554(a) DA=§554(c)	5 Sale/Funds Received by the Estate	6 Asset Fully Admin. (FA)/ Gross Value of Remain. Assets	7 Lien Amount	8 Exempt Amount
13	Defendant Contribution to Storage Expense (u) (See Footnote)	0.00	0.00		2,789.35	0.00	0.00	0.00
13	<b>Totals</b> <b>Assets</b> (Excluding unknown values)	<b>\$20,224,156.98</b>	<b>\$8,244,918.55</b>		<b>\$2,310,207.90</b>	<b>\$5,937,500.00</b>	<b>\$15,000.00</b>	<b>\$0.00</b>

RE PROP# 13 Contribution to storage expense

**Major activities affecting case closing which are not reflected above, and matters pending, date of hearing or sale, and other action:**

This is the thirty-second interim report.

The sole remaining asset for administration is the Debtor's claim in a criminal forfeiture proceeding pending in the U.S. District Court for the Eastern District of North Carolina, which has come to a close. The Defendant's Bitcoin account was liquidated by the U.S. Government, and sufficient funds were obtained to satisfy both the Order of Forfeiture and the Order of Restitution entered in the criminal proceeding (approximately \$14,000,000.00). The funds have been deposited with the Clerk of Court for the Eastern District, but because of an unrelated appeal by the Defendant, the Clerk has refused to distribute the funds to the victims of fraud identified in the original criminal judgment. In September, 2021, the US Attorney for the Eastern District filed a number of motions to expedite the release of the funds, but no order has been entered. Since that time, the defendant filed a Motion to Vacate his sentence, the US Attorney filed a Motion to Dismiss the Motion to Vacate, and an extension of time was granted the Defendant until December 2, 2022, within which to respond to the Motion to Dismiss. Prior to entry of the Order for Extension of Time, the Defendant voluntarily dismissed his Motion to Vacate, so it is not clear what is going on with the administration of the criminal case. Repeated attempts by the Trustee to expedite the distribution of the forfeiture/restitution funds have been unsuccessful. Upon receipt of the restitution funds, the trustee intends to pay the remaining unpaid claims, and any funds remaining will be paid to the decedent estate of Ronnie Thomas, the sole shareholder of the Debtor. A final report is expected to be filed by June 30, 2023.

Initial Projected Date Of Final Report (TFR): June 30, 2016

Current Projected Date Of Final Report (TFR): December 31, 2022

October 4, 2022

Date

/s/ Sara A. Conti

Sara A. Conti

Copy Served On: Mr. William P. Miller  
Bankruptcy Administrator

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 15-80016  
**Case Name:** NC & VA WARRANTY COMPANY, INC.

**Trustee:** Sara A. Conti (530200)  
**Bank Name:** Metropolitan Commercial Bank  
**Account:** \*\*\*\*\*0002 - Checking Account  
**Blanket Bond:** \$2,500,000.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** 56-1860899

**Period Ending:** 09/30/22

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
06/03/21		Transition Transfer Credit	Transition Transfer Credit	! 18,362.93		18,362.93
07/14/21		Transition Transfer Credit	Transition Transfer Credit	! 12.72		18,375.65
02/23/22	10203	NC Department of Revenue			289.81	18,085.84
02/23/22	10204	NC Dept. of Revenue Franchise Tax Payment	2018 Franchise Tax 56-1860899		279.27	17,806.57
02/23/22	10205	NC Dept. of Revenue Franchise Tax Payment	2019 Franchise Tax 56-1860899		266.34	17,540.23
03/10/22	10206	International Sureties, Ltd.	Bond # 016036434 03/01/22-03/01/23		12.89	17,527.34

<b>ACCOUNT TOTALS</b>	<b>18,375.65</b>	<b>848.31</b>	<b>\$17,527.34</b>
Less: Bank Transfers	18,375.65	0.00	
<b>Subtotal</b>	<b>0.00</b>	<b>848.31</b>	
Less: Payments to Debtors		0.00	
<b>NET Receipts / Disbursements</b>	<b>\$0.00</b>	<b>\$848.31</b>	

## Form 2

### Cash Receipts And Disbursements Record

Page: 2

**Case Number:** 15-80016  
**Case Name:** NC & VA WARRANTY COMPANY, INC.

**Trustee:** Sara A. Conti (530200)  
**Bank Name:** Mechanics Bank  
**Account:** \*\*\*\*\*7066 - Checking Account  
**Blanket Bond:** \$2,500,000.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** 56-1860899

**Period Ending:** 09/30/22

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
02/02/15	{7}	Harleysville Insurance	Workers comp insurance audit refund	1,299.00		1,299.00
02/03/15	{3}	Wells Fargo Bank, NA	Turnover of funds in payroll account	5,390.22		6,689.22
02/03/15	{1}	Wells Fargo Bank, NA	Proceeds of operating account	35,808.09		42,497.31
02/03/15	{2}	Wells Fargo Bank, NA	Proceeds of claims account	55,933.30		98,430.61
02/27/15		Rabobank, N.A.	Bank and Technology Services Fee		103.88	98,326.73
03/30/15	101	Ltd. International Sureties	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 03/30/2015 FOR CASE #15-80016		79.94	98,246.79
03/31/15		Rabobank, N.A.	Bank and Technology Services Fee		150.85	98,095.94
04/06/15	102	Duke Energy Progress	Account #706 578 7926 Reinstatement		694.55	97,401.39
04/20/15	{1}	Wells Fargo Bank	Proceeds of funds on deposit with Wells Fargo	694.55		98,095.94
04/30/15		Rabobank, N.A.	Bank and Technology Services Fee		140.66	97,955.28
05/26/15	103	Joshua H. Bennett, Esq.	Docket # 37, Special Counsel Fee 2/26/15 - 3/31/15		11,503.06	86,452.22
05/29/15		Rabobank, N.A.	Bank and Technology Services Fee		136.19	86,316.03
06/08/15	104	J. Alexander S. Barrett	Special counsel fees and expenses from 2/13/15 - 3/31/15, per Docket Entry 41		9,283.30	77,032.73
06/30/15		Rabobank, N.A.	Bank and Technology Services Fee		125.62	76,907.11
07/31/15		Rabobank, N.A.	Bank and Technology Services Fee		114.30	76,792.81
08/03/15	105	Duke Energy Progress	Account #757 017 4891		7.75	76,785.06
08/31/15		Rabobank, N.A.	Bank and Technology Services Fee		106.76	76,678.30
09/07/15	{8}	Wells Fargo	Reimbursement for Duke Energy utility payment	71.32		76,749.62
09/07/15	106	Duke Energy Progress	Account Number 757 017 4891 8/13/15 Invoice		71.32	76,678.30
09/16/15	107	J. Alexander S. Barrett	Fees and Expenses from 4/2/15 - 6/29/15 Docket #70		16,557.13	60,121.17
09/16/15	108	Joshua H. Bennett, Esq.	Fees and Expenses from 4/1/15 - 6/30/15 Docket #71		7,383.29	52,737.88
09/30/15		Rabobank, N.A.	Bank and Technology Services Fee		107.67	52,630.21
10/02/15	{9}	Fidelity Bank	Funds remaining in Debtor's bank account	4,018.24		56,648.45
10/30/15		Rabobank, N.A.	Bank and Technology Services Fee		80.32	56,568.13
11/30/15	109	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Document storage fees		161.00	56,407.13
11/30/15		Rabobank, N.A.	Bank and Technology Services Fee		78.65	56,328.48
12/22/15	110	J. Alexander S. Barrett	Doc. #92, Fees and Expenses for 7/6/15 - 9/30/15		16,459.05	39,869.43
12/28/15	111	D.H. Craig. PC	Fee for services rendered re 2014 return, Doc. No. 90		850.00	39,019.43
12/31/15		Rabobank, N.A.	Bank and Technology Services Fee		87.61	38,931.82
01/04/16	{10}	Fidelity Bank	Balance remaining in NCVA/Coastal Warranty, LLC account at Fidelity Bank	10,398.83		49,330.65
01/04/16	112	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Unit #2165 January 2016 Rent		161.00	49,169.65
01/14/16	113	Joshua H. Bennett, Esq.	Doc. #95, Order re Final Fees and Expenses		7,185.45	41,984.20

Subtotals :                      \$113,613.55                      \$71,629.35

## Form 2

### Cash Receipts And Disbursements Record

Page: 3

**Case Number:** 15-80016  
**Case Name:** NC & VA WARRANTY COMPANY, INC.

**Trustee:** Sara A. Conti (530200)  
**Bank Name:** Mechanics Bank  
**Account:** \*\*\*\*\*7066 - Checking Account  
**Blanket Bond:** \$2,500,000.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** 56-1860899

**Period Ending:** 09/30/22

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
01/25/16	114	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Document Storage Fee Unit 2165 Acct. # 1002288022		161.00	41,823.20
01/29/16		Rabobank, N.A.	Bank and Technology Services Fee		65.27	41,757.93
03/01/16	115	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	March Storage Fee Unit 2165		161.00	41,596.93
03/01/16	116	U.S. Legal Support	Payment of Invoice No. 544139, Job No. 47065		717.55	40,879.38
03/01/16	117	Ltd. International Sureties	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 03/01/2016 FOR CASE #15-80016, March 1, 2016 to March 1, 2017		257.83	40,621.55
03/01/16		Rabobank, N.A.	Bank and Technology Services Fee		57.92	40,563.63
03/24/16	118	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Unit #2165 April, 2016 storage rent		161.00	40,402.63
03/31/16		Rabobank, N.A.	Bank and Technology Services Fee		64.51	40,338.12
04/29/16		Rabobank, N.A.	Bank and Technology Services Fee		55.93	40,282.19
05/04/16	{11}	United States Treasury	60 Tax refund	1,305.00		41,587.19
05/04/16	119	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Unit # 2165 May, 2016 Storage Fee		161.00	41,426.19
05/28/16	120	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Acct. #1002288022, Unit 2165 June, 2016		161.00	41,265.19
05/31/16		Rabobank, N.A.	Bank and Technology Services Fee		57.15	41,208.04
06/29/16	121	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Unit 2165 July, 2016 storage rental		161.00	41,047.04
06/30/16		Rabobank, N.A.	Bank and Technology Services Fee		65.09	40,981.95
07/27/16	122	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Unit #2165 August, 2016 rent		161.00	40,820.95
07/29/16		Rabobank, N.A.	Bank and Technology Services Fee		56.87	40,764.08
08/12/16	123	J. Alexander S. Barrett	Payment toward Fourth Fee App - 10/1/15 - 03/10/16		20,000.00	20,764.08
08/25/16	124	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Unit #2165 September 2016		161.00	20,603.08
08/31/16		Rabobank, N.A.	Bank and Technology Services Fee		50.96	20,552.12
09/21/16	125	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	October, 2016 storage fee		176.00	20,376.12
09/22/16	126	NC Dept. of Revenue Franchise Tax Payment	2015 Franchise Tax Payment 56-1860899		35.00	20,341.12
09/22/16	127	NC Dept of Revenue - Corporate Income Tax Payment	2015 Corporate Income Tax Payment 56-1860899		25.00	20,316.12
09/30/16		Rabobank, N.A.	Bank and Technology Services Fee		29.44	20,286.68
10/11/16	{12}	Towne Bank/Coastal Warranty, LLC	Proceeds of settlement of Coastal Warranty, LLC preference	130,000.00		150,286.68

Subtotals :                      \$131,305.00                      \$23,002.52

{ } Asset reference(s)

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## Form 2

Page: 4

## Cash Receipts And Disbursements Record

Case Number: 15-80016

Case Name: NC &amp; VA WARRANTY COMPANY, INC.

Trustee: Sara A. Conti (530200)

Bank Name: Mechanics Bank

Account: \*\*\*\*\*7066 - Checking Account

Blanket Bond: \$2,500,000.00 (per case limit)

Separate Bond: N/A

Taxpayer ID #: 56-1860899

Period Ending: 09/30/22

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
10/18/16	128	J. Alexander S. Barrett	Balance of Docket No. 107, Allowing Fees and Expenses of \$33,572.50		13,572.50	136,714.18
10/31/16		Rabobank, N.A.	Bank and Technology Services Fee		128.60	136,585.58
11/01/16	129	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Unit #2165 November 2016		176.00	136,409.58
11/23/16	130	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Acct.# 1002288022 Unit 2165 Storage Fee December 2016		176.00	136,233.58
11/30/16		Rabobank, N.A.	Bank and Technology Services Fee		208.80	136,024.78
12/13/16	131	Sara A. Conti	Trustee Expenses Per Docket No.121		966.40	135,058.38
12/13/16	132	Sara A. Conti	Attorney for Trustee fees per Docket No.121		34,362.50	100,695.88
12/30/16		Rabobank, N.A.	Bank and Technology Services Fee		195.13	100,500.75
01/05/17	133	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Storage Fee Unit 2165 January 2017		176.00	100,324.75
01/05/17	134	U.S. Legal Support	Invoice No. 110003653 NCVA Warranty v. Fidelity Bank		1,316.36	99,008.39
01/09/17	135	U.S. Legal Support	Invoice No. 110007226 NCVA Warranty v. Fidelity Bank		1,221.35	97,787.04
01/31/17		Rabobank, N.A.	Bank and Technology Services Fee		160.49	97,626.55
02/17/17	136	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	February 2017 Rent on Unit 2165		176.00	97,450.55
02/23/17	137	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	March Storage fee for Unit 2165		176.00	97,274.55
02/24/17	138	J. Alexander S. Barrett	Attorney fees and expenses for 3/11/16 - 12/7/16 per Doc. #127		70,836.73	26,437.82
02/28/17		Rabobank, N.A.	Bank and Technology Services Fee		131.01	26,306.81
03/06/17	139	U.S. Legal Support	Invoice No. 110017298 Robert Puckett Transcript		748.30	25,558.51
03/06/17	140	U.S. Legal Support	Invoice No. 110017355 Charles Clementson Transcript		691.85	24,866.66
03/06/17	141	Ltd. International Sureties	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 03/06/2017 FOR CASE #15-80016, Blanket Bond #016036434 3/1/17 - 3/1/18 Voided on 03/14/17		95.75	24,770.91
03/14/17	141	Ltd. International Sureties	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 03/06/2017 FOR CASE #15-80016, Blanket Bond #016036434 3/1/17 - 3/1/18 Voided: check issued on 03/06/17		-95.75	24,866.66
03/14/17	142	Ltd. International Sureties	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 03/14/2017 FOR CASE #15-80016, Blanket Bond #016036434 3/1/17 -3/1/18		38.88	24,827.78
03/14/17	143	Huseby, Inc.	Invoice No. 176740 Jonathan Perry Transcript		1,975.30	22,852.48
03/31/17		Rabobank, N.A.	Bank and Technology Services Fee		36.70	22,815.78

Subtotals :

\$0.00

\$127,470.90



## Form 2

### Cash Receipts And Disbursements Record

Page: 5

**Case Number:** 15-80016  
**Case Name:** NC & VA WARRANTY COMPANY, INC.

**Trustee:** Sara A. Conti (530200)  
**Bank Name:** Mechanics Bank  
**Account:** \*\*\*\*\*7066 - Checking Account  
**Blanket Bond:** \$2,500,000.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** 56-1860899

**Period Ending:** 09/30/22

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
04/06/17	144	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	April 2017 Storage fee Unit 165Acct. # 1002288022		176.00	22,639.78
04/17/17	145	Huseby, Inc.	Deposition Fee for Mary Willis March 24, 2017 Inv. No.180268		1,871.95	20,767.83
04/26/17	146	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Unit No. 2165 Storage Rent for May, 2017		175.00	20,592.83
04/28/17		Rabobank, N.A.	Bank and Technology Services Fee		30.13	20,562.70
05/08/17	147	U.S. Legal Support	Invoice No. 110036432 Marshburn Deposition		822.30	19,740.40
05/30/17	148	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Unit #2165 Storage for June, 2017		176.00	19,564.40
05/31/17		Rabobank, N.A.	Bank and Technology Services Fee		31.80	19,532.60
06/01/17	149	U.S. Legal Support	Invoice No. 110020083 Carolyn Barefoot, Toni Shumpert depositions		1,189.16	18,343.44
06/06/17	150	U.S. Legal Support	Invoice #110020083 Carolyn Barefoot deposition		1,189.16	17,154.28
06/29/17	151	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Unit # 2165 July 17 rent		176.00	16,978.28
06/30/17		Rabobank, N.A.	Bank and Technology Services Fee		25.96	16,952.32
07/25/17	152	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Unit 2165 August 2017 rent		176.00	16,776.32
07/31/17		Rabobank, N.A.	Bank and Technology Services Fee		23.61	16,752.71
08/23/17	{13}	Newhouse Prophater	Contribution to storage expense	58.67		16,811.38
08/23/17	{13}	Northern Blue	Contribution to storage expense	58.67		16,870.05
08/31/17	153	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Unit # 2165 September, 2017 rent		176.00	16,694.05
08/31/17		Rabobank, N.A.	Bank and Technology Services Fee		26.56	16,667.49
09/19/17	{13}	Newhouse Prophater et al	Contribution to storage expense	58.67		16,726.16
09/19/17	{13}	Northern Blue LLP	Contribution to storage expense	58.67		16,784.83
09/26/17	154	NC Dept. of Revenue Franchise Tax Payment	2016 Franchise Tax 56-1860899		200.00	16,584.83
09/29/17		Rabobank, N.A.	Bank and Technology Services Fee		23.28	16,561.55
10/05/17	{13}	Newhouse Prophater	Contribution to storage fee re documents	58.67		16,620.22
10/06/17	155	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	October Rent Unit 2165		192.00	16,428.22
10/17/17	{13}	Northern Blue LLP	Contribution to storage cost re documents	64.00		16,492.22
10/26/17	156	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	October storage Unit 2165		192.00	16,300.22
10/26/17	157	Jeutter Law	Conti v. Fidelity Bank et al mediation conference		1,100.00	15,200.22
10/31/17		Rabobank, N.A.	Bank and Technology Services Fee		25.34	15,174.88

Subtotals :                      \$357.35                      \$7,998.25

## Form 2

### Cash Receipts And Disbursements Record

Page: 6

**Case Number:** 15-80016  
**Case Name:** NC & VA WARRANTY COMPANY, INC.

**Trustee:** Sara A. Conti (530200)  
**Bank Name:** Mechanics Bank  
**Account:** \*\*\*\*\*7066 - Checking Account  
**Blanket Bond:** \$2,500,000.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** 56-1860899

**Period Ending:** 09/30/22

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
11/07/17	{13}	Newhouse Prophater	Contribution to storage fee re documents	64.00		15,238.88
11/29/17	158	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Unit 2165 December 2017 rent		192.00	15,046.88
11/30/17		Rabobank, N.A.	Bank and Technology Services Fee		21.90	15,024.98
12/05/17	{13}	Newhouse Prophater	Defendant contribution to storage expenses	64.00		15,088.98
12/05/17	{13}	Northen Blue, LLP	Defendant contribution to storage fees	64.00		15,152.98
12/27/17	{13}	Newhouse Prophater	Defendant contribution to storage expenses	64.00		15,216.98
12/27/17	{13}	Northen Blue	Defendant contribution to storage expenses	64.00		15,280.98
12/27/17	159	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	January 2018 rent on Unit 2165		192.00	15,088.98
12/29/17		Rabobank, N.A.	Bank and Technology Services Fee		21.05	15,067.93
01/30/18	{13}	Newhouse Prophater	Defendant contribution to storage expenses	64.00		15,131.93
01/30/18	{13}	Northen Blue	Defendant contribution to storage expenses	64.00		15,195.93
01/30/18	160	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Acct. No. 1002288022 Feb. '18 rent on Unit 2165		192.00	15,003.93
01/31/18		Rabobank, N.A.	Bank and Technology Services Fee		23.88	14,980.05
02/12/18	{13}	Northen Blue LLP	Defendant contribution to storage expenses	64.00		15,044.05
02/22/18	161	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Acct. No. 1002288022 March 2018 rent on Unit 2165		192.00	14,852.05
02/28/18		Rabobank, N.A.	Bank and Technology Services Fee		20.19	14,831.86
03/08/18	162	Ltd. International Sureties	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 03/08/2018 FOR CASE #15-80016, Bond # 016036434		180.03	14,651.83
03/21/18	{13}	Newhouse Prophater	Defendant contribution to storage expenses	64.00		14,715.83
03/21/18	{13}	Newhouse Prophater	Defendant contribution to storage expenses	64.00		14,779.83
03/30/18		Rabobank, N.A.	Bank and Technology Services Fee		21.22	14,758.61
04/02/18	163	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Acct # 1002288022 Unit No. 2165		192.00	14,566.61
04/30/18		Rabobank, N.A.	Bank and Technology Services Fee		20.34	14,546.27
05/02/18	{13}	Newhouse Prophater	Defendant share of storage costs	64.00		14,610.27
05/02/18	{13}	Northen Blue	Defendant share of storage costs	64.00		14,674.27
05/02/18	164	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Account #1002288022 Unit 2165		192.00	14,482.27
05/23/18	{13}	Newhouse Prophater	Defendant share of storage costs	64.00		14,546.27
05/23/18	{13}	Northen Blue	Defendant share of storage costs	64.00		14,610.27
05/28/18	165	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Acct. # 1002288022		192.00	14,418.27
05/31/18		Rabobank, N.A.	Bank and Technology Services Fee		23.00	14,395.27

Subtotals :                      \$896.00                      \$1,675.61

## Form 2

### Cash Receipts And Disbursements Record

Page: 7

**Case Number:** 15-80016  
**Case Name:** NC & VA WARRANTY COMPANY, INC.

**Trustee:** Sara A. Conti (530200)  
**Bank Name:** Mechanics Bank  
**Account:** \*\*\*\*\*7066 - Checking Account  
**Blanket Bond:** \$2,500,000.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** 56-1860899

**Period Ending:** 09/30/22

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
06/29/18		Rabobank, N.A.	Bank and Technology Services Fee		20.04	14,375.23
07/03/18	{13}	Newhouse Prophater	Defendant share of storage costs	64.00		14,439.23
07/03/18	{13}	Northen Blue	Defendant share of storage costs	64.00		14,503.23
07/03/18	166	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Acct. # 1002288022 Unit No. 2165		192.00	14,311.23
07/24/18	{13}	Newhouse Prophater	Defendant share of storage costs	64.00		14,375.23
07/24/18	{13}	Northen Blue	Defendant share of storage costs	64.00		14,439.23
07/24/18	167	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Acct. No. 1002288022 August Rent Unit 2165		192.00	14,247.23
07/31/18		Rabobank, N.A.	Bank and Technology Services Fee		22.04	14,225.19
08/31/18		Rabobank, N.A.	Bank and Technology Services Fee		21.14	14,204.05
09/05/18	{13}	Newhouse Prophater	Defendant share of storage costs	64.00		14,268.05
09/05/18	168	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Acct. # 1002288022 September rent unit 2165		192.00	14,076.05
09/28/18		Rabobank, N.A.	Bank and Technology Services Fee		10.83	14,065.22
09/29/18	169	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Acct. # 1002288022 October 2018 rent Unit 2165		224.00	13,841.22
10/24/18	{13}	Newhouse Prophater	Defendant share of storage fee	64.00		13,905.22
10/24/18	{13}	Newhouse Prophater	Defendant share of storage fee	64.00		13,969.22
10/31/18		Rabobank, N.A.	Bank and Technology Services Fee		12.57	13,956.65
11/05/18	170	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Acct. # 1002288022 Unit 2165 November 2018		224.00	13,732.65
11/30/18		Rabobank, N.A.	Bank and Technology Services Fee		11.36	13,721.29
12/06/18	171	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Acct. # 1002288022 December Rent Unit 2165		225.00	13,496.29
12/19/18	172	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Acct. #1002288022 January 2019 Unit No. 2165		222.00	13,274.29
12/31/18		Rabobank, N.A.	Bank and Technology Services Fee		10.76	13,263.53
01/01/19	{13}	Newhouse Prophater	Defendant share of storage fee	64.00		13,327.53
01/01/19	{13}	Northen Blue	Defendant share of storage fee	320.00		13,647.53
01/29/19	{13}	Newhouse Prophater	Defendant share of storage fee	64.00		13,711.53
01/29/19	{13}	Northen Blue	Defendant share of storage fee	64.00		13,775.53
01/29/19	173	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Acct# 1002288022 Feb. 2019 rent unit 2165		223.00	13,552.53
01/31/19		Rabobank, N.A.	Bank and Technology Services Fee		12.28	13,540.25
02/28/19		Rabobank, N.A.	Bank and Technology Services Fee		10.42	13,529.83
03/10/19	{13}	Newhouse Prophater	Defendant share of storage fee	64.00		13,593.83

Subtotals :                      \$1,024.00                      \$1,825.44

## Form 2

### Cash Receipts And Disbursements Record

Page: 8

**Case Number:** 15-80016  
**Case Name:** NC & VA WARRANTY COMPANY, INC.

**Trustee:** Sara A. Conti (530200)  
**Bank Name:** Mechanics Bank  
**Account:** \*\*\*\*\*7066 - Checking Account  
**Blanket Bond:** \$2,500,000.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** 56-1860899

**Period Ending:** 09/30/22

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
03/10/19	{13}	Newhouse Prophater	Defendant share of storage fee	64.00		13,657.83
03/10/19	174	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Account No. 1002288022		223.00	13,434.83
03/25/19		Newhouse Prophater	Defendant share of storage fee	64.00		13,498.83
03/25/19	{13}	Northen Blue	Defendant share of storage fee	64.00		13,562.83
03/25/19	175	International Sureties, Ltd.	Bond #016036434		6.97	13,555.86
03/25/19	176	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Acct. # 1002288022		223.00	13,332.86
03/29/19		Rabobank, N.A.	Bank and Technology Services Fee		10.72	13,322.14
04/26/19	{13}	Newhouse Prophater	Defendant share of storage fee	64.00		13,386.14
04/26/19	{13}	Northen Blue	Defendant share of storage fee	64.00		13,450.14
04/26/19	177	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Acct. No. 1002288022 May 2019 Unit 2165		223.00	13,227.14
04/30/19		Rabobank, N.A.	Bank and Technology Services Fee		11.69	13,215.45
05/31/19		Rabobank, N.A.	Bank and Technology Services Fee		11.22	13,204.23
06/03/19	{13}	Newhouse Prophater	Defendants share of storage cost	64.00		13,268.23
06/03/19	{13}	Northen Blue	Defendants share of storage cost	64.00		13,332.23
06/03/19	{13}	Northen Blue	Defendants share of storage cost	64.00		13,396.23
06/03/19	178	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Account Number 1002288022 June 2019 Unit 2165		223.00	13,173.23
06/03/19	179	J. Alexander S. Barrett	Expenses re Statement No. 35640		3,001.31	10,171.92
06/25/19	{13}	Newhouse Prophater		64.00		10,235.92
06/25/19	{5}	Dealers Assurance Company	Settlement Payment	1,000,000.00		1,010,235.92
06/25/19	{5}	The Cincinnati Insurance Co.	Settlement Payment	1,062,500.00		2,072,735.92
06/26/19	180	Hagan Barrett, PLLC			485,668.15	1,587,067.77
06/28/19	181	Dealers Assurance Company	Settlement Payment		1,037,500.00	549,567.77
06/28/19	182	Rebecca Lea	Priority Claim		12,475.00	537,092.77
06/28/19	183	Rebecca Lea	Unsecured claim		200.00	536,892.77
06/28/19	184	Barbara Winstead	Priority Claim		3,740.00	533,152.77
06/28/19	185	Robert Puckett	Priority Claim		6,000.00	527,152.77
06/28/19	186	CubeSmart 6592 CubeSmart NC Greensboro/Battleground	Account Number 1002288022		223.00	526,929.77
06/28/19	187	Person County Tax Collector	Claim Payment Voided on 08/21/19		235.76	526,694.01
06/28/19	188	Public Service Company of NC	Proof of Claim No. 2		423.09	526,270.92
06/28/19	189	Pitney Bowes	Proof of Claim NO.3		1,880.29	524,390.63
06/28/19	190	NC Dept. of Commerce	Proof of Claim No. 5		154.13	524,236.50

Subtotals : \$2,063,076.00 \$1,552,433.33

## Form 2

### Cash Receipts And Disbursements Record

Page: 9

**Case Number:** 15-80016  
**Case Name:** NC & VA WARRANTY COMPANY, INC.

**Trustee:** Sara A. Conti (530200)  
**Bank Name:** Mechanics Bank  
**Account:** \*\*\*\*\*7066 - Checking Account  
**Blanket Bond:** \$2,500,000.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** 56-1860899

**Period Ending:** 09/30/22

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
06/28/19	191	NC Dept. of Commerce	Proof of Claim No. 6		15.41	524,221.09
06/28/19	192	Synchrony Bank	Proof of Claim No. 8		114.62	524,106.47
06/28/19	193	Wells Fargo Bank, NA	Proof of Claim No. 8		254,266.31	269,840.16
06/28/19		Rabobank, N.A.	Bank and Technology Services Fee		117.43	269,722.73
08/16/19		Rabobank, N.A.	Bank and Technology Services Fee Adjustment		-117.43	269,840.16
08/21/19	187	Person County Tax Collector	Claim Payment Voided: check issued on 06/28/19		-235.76	270,075.92
08/28/19	194	Dealers Assurance Company	Unclaimed Funds from settlement		235.76	269,840.16
09/02/19	195	Hagan Barrett, PLLC	Expenses per Statement No. 36037		1,383.39	268,456.77
11/18/19	196	Dealers Assurance Company	Doc. 191 Consent Order		115,000.00	153,456.77
11/18/19	197	Car City of Whiteville, Inc.	Doc. 191 Consent Order		25,000.00	128,456.77
02/21/20	198	Sara A. Conti	Doc. No. 198, Atty fees and expenses thru 1/15/2020		17,651.37	110,805.40
03/06/20	199	International Sureties, Ltd.	Bond # 016036434 03/01/2020 to 03/01/21		68.40	110,737.00
03/31/20		Rabobank, N.A.	Bank and Technology Services Fee		88.49	110,648.51
04/30/20	200	Sara A. Conti	Trustee Commission per Court Order		92,348.63	18,299.88
04/30/20		Rabobank, N.A.	Bank and Technology Services Fee		176.85	18,123.03
05/29/20		Mechanics Bank	Bank and Technology Services Fee		47.68	18,075.35
06/30/20		Mechanics Bank	Bank and Technology Services Fee		30.81	18,044.54
07/31/20		Mechanics Bank	Bank and Technology Services Fee		29.80	18,014.74
03/09/21	201	International Sureties, Ltd.	Bond Number 016036434 Stopped on 06/15/21		12.72	18,002.02
03/22/21	202	International Sureties, Ltd.	Bond # 016036434		12.72	17,989.30
05/20/21		Mechanics Bank	Bank and Technology Services Fee Adjustment		-373.63	18,362.93
06/03/21		Transition Transfer Debit	Transition Transfer Debit		18,362.93	0.00
06/15/21	201	International Sureties, Ltd.	Bond Number 016036434 Stopped: check issued on 03/09/21		-12.72	12.72
07/14/21		Transition Transfer Debit	Transition Transfer Debit to Metropolitan Bank account xxxxxx0002		12.72	0.00

<b>ACCOUNT TOTALS</b>	<b>2,310,271.90</b>	<b>2,310,271.90</b>	<b>\$0.00</b>
Less: Bank Transfers	0.00	18,375.65	
<b>Subtotal</b>	<b>2,310,271.90</b>	<b>2,291,896.25</b>	
Less: Payments to Debtors		0.00	
<b>NET Receipts / Disbursements</b>	<b>\$2,310,271.90</b>	<b>\$2,291,896.25</b>	

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 15-80016  
**Case Name:** NC & VA WARRANTY COMPANY, INC.

**Trustee:** Sara A. Conti (530200)  
**Bank Name:** Mechanics Bank  
**Account:** \*\*\*\*\*7066 - Checking Account  
**Blanket Bond:** \$2,500,000.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** 56-1860899

**Period Ending:** 09/30/22

1	2	3	4	5	6	7
Trans. Date	{Ref #} / Check #	Paid To / Received From	Description of Transaction	Receipts \$	Disbursements \$	Checking Account Balance

Net Receipts : 2,310,271.90

Net Estate : \$2,310,271.90

TOTAL - ALL ACCOUNTS	Net Receipts	Net Disbursements	Account Balances
Checking # *****0002	0.00	848.31	17,527.34
Checking # *****7066	2,310,271.90	2,291,896.25	0.00
	<b>\$2,310,271.90</b>	<b>\$2,292,744.56</b>	<b>\$17,527.34</b>

October 4, 2022

Date

/s/ Sara A. Conti

Sara A. Conti